

CURL: Continuous, Ultra-compact Representation for LiDAR

Kaicheng Zhang, Ziyang Hong, Shida Xu, and Sen Wang*

Perception and Robotics Group, Institute of Signals, Sensors and Systems, Heriot-Watt University, UK

Email: {kz13, zh9, sx2000, s.wang}@hw.ac.uk *Corresponding author

Abstract—Increasing the density of the 3D LiDAR point cloud is appealing for many applications in robotics. However, high-density LiDAR sensors are usually costly and still limited to a level of coverage per scan (e.g., 128 channels). Meanwhile, denser point cloud scans and maps mean larger volumes to store and longer times to transmit. Existing works focus on either improving point cloud density or compressing its size. This paper aims to design a novel 3D point cloud representation that can continuously increase point cloud density while reducing its storage and transmitting size. The pipeline of the proposed Continuous, Ultra-compact Representation of LiDAR (*CURL*) includes four main steps: meshing, upsampling, encoding, and continuous reconstruction. It is capable of transforming a 3D LiDAR scan or map into a compact spherical harmonics representation which can be used or transmitted in low latency to continuously reconstruct a much denser 3D point cloud. Extensive experiments on four public datasets, covering college gardens, city streets, and indoor rooms, demonstrate that much denser 3D point clouds can be accurately reconstructed using the proposed *CURL* representation while achieving up to 80% storage space-saving. We open-source the *CURL* codes for the community.

I. INTRODUCTION

Light Detection and Ranging (LiDAR) sensing is key for a wide variety of robotic applications and mobile autonomy, e.g., self-driving vehicles, because it usually provides accurate and compelling ranging scans in the format of the 3D point cloud. However, a 3D point cloud scan provided by most low-cost, moderate-size LiDAR sensors is often sparse, particularly in large-scale outdoor scenarios. This could cause potential problems, e.g., miss detections of distant objects. Meanwhile, 3D point cloud scans are enormous in terms of data storage and memory usage. It can consume a large volume of storage to save 3D point clouds. More importantly, for remote and cloud applications that are increasingly in high demand, transmitting raw 3D point clouds can quickly saturate communication bandwidth, causing undesired transmitting latency or data package losses.

Some existing works, mainly based on deep learning, have achieved impressive performance on increasing the density of a point cloud [28, 23]. Since they focus on generating a denser point cloud, the point cloud size would inevitably be maintained or increased for storage and transfer. On the other hand, some techniques are designed to compress point cloud [4], e.g., the video compression inspired MPEG standard for point cloud compression [21]. However, they only target point cloud data compression and do not provide strategies for improving point cloud density.

This paper proposes a novel 3D point cloud representation that aims to address these challenges. It not only continuously and adaptively adjusts a point cloud's density on demand, but

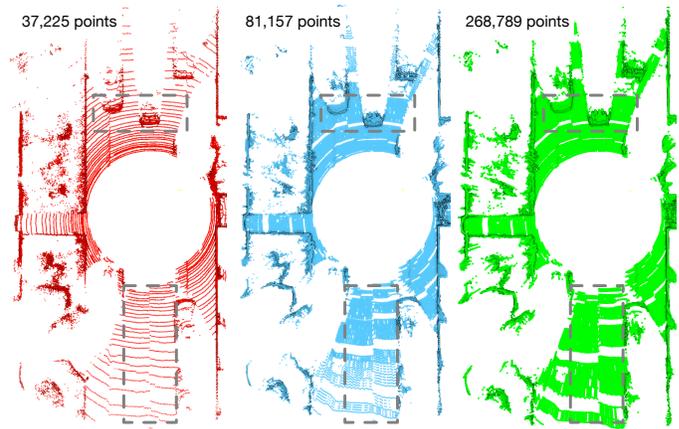


Fig. 1: Left to Right: original point cloud (red), point clouds reconstructed using a same *CURL* with 2 times (blue) and 7 times (green) density increases. The *CURL* of this point cloud is only 16% of the original point cloud size.

also models it in a compact format that can be efficiently stored and/or transmitted through a low communication bandwidth. Our main contributions include:

- 1) a novel 3D point cloud representation pipeline, named *CURL*, that is designed to encode a 3D LiDAR point cloud in a continuous and ultra-compact format, i.e., an upsampled spherical harmonics representation for continuous point cloud reconstruction.
- 2) an efficient meshing method and an upsampling technique developed to enhance density and accuracy of reconstructed 3D LiDAR point clouds in robotic scenarios, addressing the sparsity problem of raw 3D LiDAR scans, particularly in large-scale outdoor scenarios.

The experiment evaluation on four public datasets shows that *CURL* is able to reduce the storage spaces of point clouds by up to 80% while recovering denser 3D reconstructions. To the best of our knowledge, this is the first framework that is capable of compressing a 3D point cloud into this level of storage efficiency while being able to (continuously) increase point cloud density. Note that this work focuses on the widely used 360° Field-of-View (FoV) LiDAR scanners, like Velodyne and Ouster ones, instead of rectangular FoV sensors, e.g., Livox, although it is straightforward to adapt *CURL* for different scanning mechanisms. The codes of *CURL* are released to promote this area in the community¹.

¹<https://github.com/perception-and-robotics-group/CURL.git>

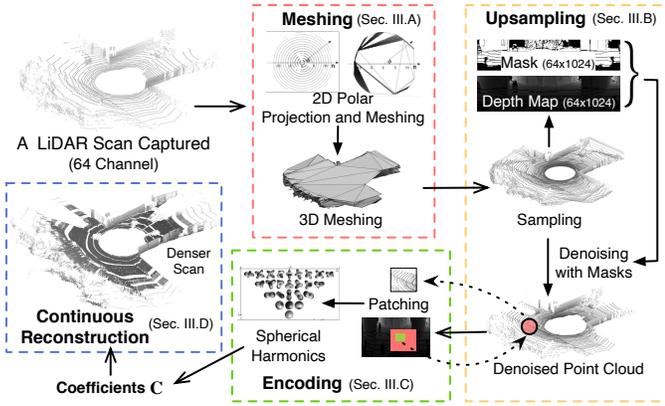


Fig. 2: Proposed CURL framework.

II. RELATED WORK

A 3D point cloud contains a set of 3D points carrying both geometric and attribute information, e.g., intensity properties, which is the raw data often received from an off-the-shelf LiDAR sensor. Most existing works focus on designing descriptors of point clouds for various perception tasks, e.g., place recognition, object detection, and matching. These perception tasks heavily rely on high-resolution 3D point clouds, which directly and precisely describe the geometries of scenes and objects. However, high-resolution point clouds take a large amount of storage to store and bandwidth to transmit. On the other hand, if the point cloud is not dense enough, it may hinder the performance of these perception tasks. Therefore, both upsampling and compression are essential for robotic applications using 3D point clouds.

A. Point Cloud Upsampling

Point cloud upsampling aims to increase the density of a point cloud. It is a challenging task because some geometric structures may not be fully encapsulated in sparse point clouds.

1) *Traditional approaches*: Upsampling is achieved in [1] by adding points on the vertices of Voronoi diagrams on moving least squares surface. Surface reconstruction and point samples are conducted by calculating the characteristic functions with Fourier coefficients [10]. In [26], the point density is increased by sinking the inner points to form a meso-skeleton and moving outer points along the surface to complete missing areas. [16] uses a set of window Fourier to create a spectral decomposition of the object model, which could be used to produce a dense reconstruction. The experiments of the above methods are all tested on enclosed point cloud of object models that are usually in small scales with little noise, different from outdoor environments where robots operate.

2) *Deep learning approaches*: A deep learning method that generates up-sampling results by learning multi-level features per point is proposed in [28]. [27] achieves the first deep learning-based edge-aware technique to facilitate the consolidation of point clouds. Instead of learning from patches, [29] learns from entire objects. [17] upsamples point clouds by projecting local surfaces into 2D, then using 3D linear

transformation to recover 3D information. To achieve better multi-objective nature of the task, [11] designs one network cascaded by two networks. Previously, deep learning-based upsampling methods were all for relatively small objects. [23] first proposes a technique that upsamples large-scale LiDAR point clouds from 16 to 64 channels, which will be compared in our experiments.

B. Point Cloud Compression

Point cloud compression has been studied for years since a high-precision 3D point cloud model unavoidably takes huge storage space. According to [4], the common 3D point cloud compression method can be categorized into three groups: 1D traversal, 2D projection, and 3D correlation. For 1D traversal, [5] is able to generate a connection list and build tree-based connectivity, which could help to predict adjacent points. Since this connectivity-driven approach represents points in 1D, geometric information is not well preserved. Thus, some methods project 3D onto 2D, e.g., projecting 3D point clouds to height fields [14] before using a shape-adaptive wavelet coder to encode. [12] represents point clouds into several depth images according to different viewpoints, exploiting statistical dependencies from both temporal and inter-view reference pictures for prediction of both color and depth data. [22] also converts point clouds into several separate video sequences, one for geometry and another for texture information, then using video codecs for compressing. Octree-based compression methods directly make use of 3D correlated information. [8] proposes to encode point clouds and their normals with arbitrary topology, they reorder the occupancy code to reduce the entropy. Binary tree and quadtree are combined in [9] for effective compression. Recently, inspired by image and video compression methods using deep learning, deep entropy model was introduced into point cloud compression. [7] utilizes octree to solve the sparsity problem of the LiDAR point cloud. It uses node's tree structure information to reach a considerable compression ratio, while maintaining the same reconstruction accuracy compared with other algorithms by minimizing entropy distribution. Based on this, [18] further exploits the voxel context in the octree-based framework by integrating neighboring nodes' information which can be employed on both static and dynamic point clouds. However, no compression method also upsamples point clouds for higher density.

III. METHODOLOGY

The proposed CURL for the 3D point cloud representation mainly contains four parts: meshing, upsampling, encoding, and continuous reconstruction. Its pipeline is shown in Fig. 2.

A. Meshing

A single point cloud scan from a LiDAR sensor, particularly in outdoor environments, tends to be sparse with limited geometric resolution. This means it can cause inaccurate approximation for continuous reconstruction if directly encoding the sparse point cloud (See Section III-C). To tackle this

challenge, CURL upsamples raw point cloud scans from a mesh representation before encoding.

Different from most popular point cloud mesh generation algorithms, which use 3D locations of points, we propose a polar-parametrized triangular meshing in a 2D space for three reasons: (1) this is much more efficient than meshing in the 3D space; (2) it is able to derive a convex hull to get a watertight mesh; (3) triangular meshes linking points that are scanned with similar azimuth directions tend to be on an identical or nearby surface.

Fig. 3 shows an example of generating a mesh by using a 16-channel LiDAR point cloud. Points of a LiDAR scan are transferred into a two-dimensional polar coordinate (n, ϕ) using their channel order n (as radius) and azimuth angle ϕ . After performing this coordinate transformation, LiDAR points with similar shooting directions are gathered along the radial direction. Then, Delaunay triangulation [2] method is utilized to produce a mesh for the 2D points. This process is very efficient since this is meshing in 2D, unlike point cloud meshing in 3D. Meanwhile, a convex closure is needed to make sure the mesh is watertight. After having these meshes of 2D vertices, their triangular connections can be simply mapped back into the corresponding 3D points to produce 3D triangular meshes of the point cloud efficiently.

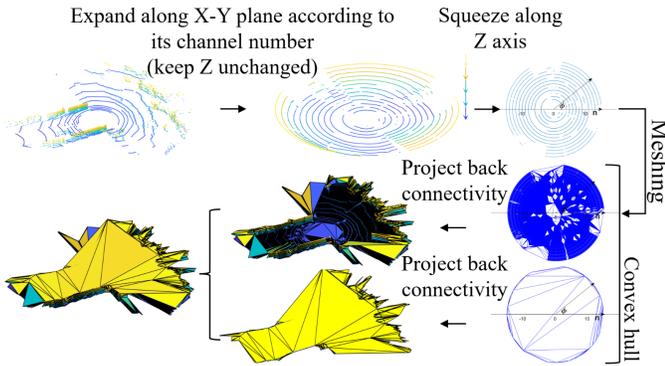


Fig. 3: Meshing pipeline.

B. Upsampling

Once having the meshes of the LiDAR scan, they are used to generate denser points via upsampling. Given the nature of upsampling and inaccuracy of meshing, some noisy points could be added during the upsampling process. Therefore, denoising is also introduced after sampling.

1) *Sampling*: For a physical 3D LiDAR sensor, its vertical and horizontal FoVs Θ_v and Θ_h and resolutions Δ_v and Δ_h are fixed by its design and configuration. Assume its number of vertical channel is L and it has N_h horizontal bins per scan. Without changing the sensor’s configuration, it would be impossible to increase its FoVs and the number of channels and horizon bins. Therefore, sampling can mainly grow its point density by increasing its resolutions Δ_v and Δ_h , which are key for long-range sensing (because the further away, the sparser point cloud would be). Based on this, a virtual LiDAR

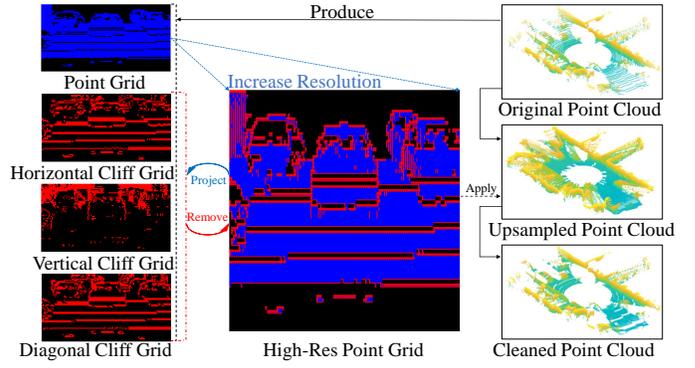


Fig. 4: Mask generation and application. Four mask bitmaps are produced by using the depth image of a point cloud. Cliff Grids are to detect wrong pixels (red pixels in the High-Res Point Grid). The High-Res Point Grid (blue pixels) can be used to filter noisy points generated from upsampling or continuous reconstruction results.

grid upsampling with higher resolutions $\Delta_v = \Theta_v / (L \cdot S_{row})$ and $\Delta_h = \Theta_h / (N_h \cdot S_{col})$, where S_{row} and S_{col} are increased sampling rates, is used to produce a denser point cloud from the generated mesh through ray tracking. The higher the resolutions are, the denser the upsampled point clouds are.

2) *Denoising with Masks*: Upsampling can provide more geometric details based on the meshes, but meanwhile, noisy points are introduced during this process. A large number of noisy points can corrupt encoding, which leads to inaccurate and distorted reconstruction. Therefore, we propose a denoising method based on masks that can be efficiently created to identify and remove noisy points.

a) *LiDAR Point Cloud Projection*: Since it is efficient to produce and apply masks on 2D images, the upsampled point cloud is projected as a depth image by transforming all its 3D points into a spherical coordinate system. The transformation is

$$\begin{aligned} x &= r \cdot \sin(\phi) \cos(\theta) \\ y &= r \cdot \sin(\phi) \sin(\theta) \\ z &= r \cdot \cos(\phi) \end{aligned} \quad (1)$$

where (x, y, z) is the Cartesian coordinate of a point and (θ, ϕ, r) is its corresponding spherical coordinate. We then map them to image space, as a depth image, with pixel height and width coordinates (I_h, I_w) calculated by:

$$I_h = (\theta + \Theta_v/2) / \Delta_v, \quad I_w = \phi / \Delta_h \quad (2)$$

Therefore, each pixel location of the depth image corresponds to a spherical coordinate of the LiDAR, and its pixel intensity is the radial distance r .

b) *Mask Bitmaps*: Based on the depth image, the following bitmaps are produced.

- **Point Grid**: This bitmap is to identify whether there is a 3D point corresponding to a pixel. Specifically, if a pixel has a valid depth, it is marked as 1 to keep. Otherwise, it

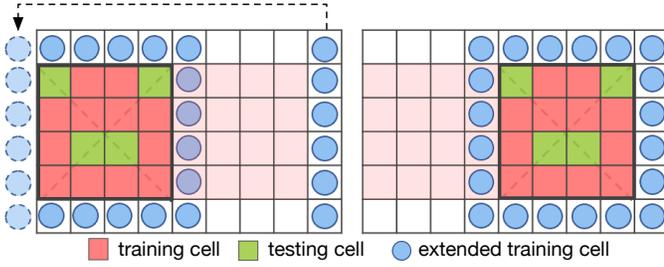


Fig. 5: Patching with training, testing and extended training cells. Left is one patch and right shows another patch. Patch size is 4×4 with 1 extended training cell in this example.

is 0. Only the point grid yielded from the original point cloud is stored.

- **Cliff Grids:** Sharp range changes happen for cliff points located at the foreground and background gaps. Hence, they are detected by examining the depth values of two adjacent pixels in horizontal, vertical, and diagonal directions. If they are very different along one direction, its neighbor pixel in that direction is marked as a cliff point to remove in the cliff bitmap. From Fig. 4, we can see that these mask grids support identifying wrong pixels of the High-Res Point Grid by projecting the point grid on the cliff grids to remove pixels out of the boundary.

By leveraging these mask bitmaps, we can then identify and remove most of the 3D outlier points in the upsampled point cloud. See the upsampled point cloud and the cleaned point cloud in Fig. 4 as a comparison.

C. Encoding

This encoding phase is to compute an adaptive spherical harmonics approximation of the upsampled point cloud. It consists of patching, spherical harmonics encoding, and adaptive refinement.

1) *Patching:* To improve encoding accuracy and computation efficiency, the depth image of the upsampled point cloud is divided into many small square patches for encoding. When performing encoding with a higher degree (See details in Section III-C2), the points are fitted more accurately. But it tends to overfit, causing more significant errors on unfitted points with less generalizability. Therefore, we introduce a strategy to validate how good a chosen encoding degree is to generalize in unknown areas by dividing the patch's cells into training and testing cells. As shown in Fig. 5, the training cells (in red) are used to calculate encoding, while the testing cells (in green) are used to validate encoding errors. The testing cells are selected on the diagonal of the patch with each apart. Moreover, to build a correlation between neighbor patches for better consistency, each patch is padded with extended training cells (in blue), which are used together with the training cells for encoding. Note that the smaller the patch is, the faster the computation, the higher the accuracy, and the lower the compression ratio is.

2) *Spherical Harmonics Encoding:* We are now ready to compute the encoding coefficients. In this work, the patches are encoded by using spherical harmonics (SPHARM), which approximately represents a 3D point cloud with a set of deformed spheres [13]. Intuitively, it is the Fourier transform functions defined on the sphere. The spherical harmonics expansion function is defined as

$$f(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l c_l^m Y_l^m(\theta, \phi), \quad (\theta \in [0, 2\pi], \phi \in [0, \pi]) \quad (3)$$

$$l = 0, 1, 2, 3, \dots, \quad m = -l, -l+1, \dots, l-1, l$$

i.e., a function $f(\theta, \phi)$ in the spherical coordinate can be represented by the linear combination of the spherical harmonics function $Y_l^m(\theta, \phi)$ of degree l and order m . Since all points in a point cloud have been represented in the spherical coordinate in Section III-B2a, Eq. (3) can be utilised to fit the spherical harmonics coefficient c_l^m describing the points in a patch. The spherical harmonics function $Y_l^m(\theta, \phi)$ is defined as

$$Y_l^m(\theta, \phi) = (-1)^m \sqrt{\frac{(2l+1)(l-m)!}{4\pi(l+m)!}} P_l^m(\cos\theta) e^{im\phi} \quad (4)$$

where $P_l^m(x)$ is associated Legendre polynomials [13]. Therefore, if an upper limit L_{max} of the degree l is given, and an input function defined in spherical coordinate as $f(\theta, \phi)$ is described by a set of spherical samples (θ_i, ϕ_i) , then $f_i = f(\theta_i, \phi_i)$ where $1 \leq i \leq n$, according to Eq. (3) a linear system equation can be formulated as

$$\mathbf{Y}\mathbf{C} = \mathbf{F}, \text{ or } \begin{bmatrix} y_{1,1} & y_{1,2} & y_{1,3} & \cdots & y_{1,k} \\ y_{2,1} & y_{2,2} & y_{2,3} & \cdots & y_{2,k} \\ \vdots & \vdots & \vdots & & \vdots \\ y_{n,1} & y_{n,2} & y_{n,3} & \cdots & y_{n,k} \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ c_3 \\ \vdots \\ c_k \end{bmatrix} = \begin{bmatrix} f_1 \\ f_2 \\ \vdots \\ f_n \end{bmatrix} \quad (5)$$

, where $y_{i,j} = Y_l^m(\theta_i, \phi_i)$, $j = l^2 + l + m + 1$ and $k = (L_{max} + 1)^2$. Note that we use an indexing scheme that assigns a unique index j to every pair (l, m) . The least square method can then be employed to optimally calculate the spherical harmonics coefficients. Since $f(\theta, \phi)$ is defined as a continuous function, the point cloud encoding is also continuous. This means once the spherical harmonics coefficients are derived, they can be saved or transmitted to reconstruct the original point cloud continuously. Since these coefficients are only a few scale values, they are much more efficient and compact in terms of memory and storage sizes compared with the original point cloud that contains thousands of 3D points.

3) *Adaptive Refinement:* Because the geometry of environments can be simple or complex, the optimal SPHARM degree for each patch may vary significantly. If the degree is too high, the reconstruction using the encoded SPHARM coefficients would be inaccurate, and it takes more space to store. On the other hand, if the degree is too low, even the training cells may not be well fitted. Therefore, an algorithm is designed to select a suitable degree to balance these two situations adaptively.

Since after performing spherical harmonics encoding using the training and extended training cells, we can utilize the

Name	Variable	Indoor	Outdoor
Scan Channels	L	64	64
Sampling Rate	S_{row}	2	2
	S_{col}	2	2
Cliff Threshold	Horizontal	0.1 m	2.0 m
	Vertical	0.1 m	0.2 m
	Diagonal	0.1414 m	2.0 m
Patch Size	P_r	4 pixels	4 pixels
	P_{row}	$P_r \times S_{row}$	$P_r \times S_{row}$
	P_{col}	$P_r \times S_{col}$	$P_r \times S_{col}$
	R_{row}	1	1
Reconstruction	R_{col}	1	1

TABLE I: Default CURL parameters.

derived SPHARM coefficients to recover the points in the training cells and testing cells. Based on this, optimization is built to optimize the degree, iteratively minimizing the errors between the recovered points and their real values. Denote α and β as the weights for the training and testing errors, where

$$\alpha = W, \quad \beta = 1 - W, \quad W = \frac{1}{1 + (\frac{l}{k})^2} \quad (6)$$

$$E_t = \alpha E_a + \beta E_b \quad (7)$$

E_a is the training error, E_b is the testing error, and E_t is the total error. Hence, when $l = k$, $\alpha = \beta$ which means the error weights of the training and testing cells equal. According to Fig. 6, we empirically select $k = 9$, i.e., when the degree smaller than 9, we value the errors of training cell more. Once the average total error for each patch in Eq. (7) is smaller than a certain threshold or starting to increase, the iteration is finished.

D. Continuous Reconstruction

Now all the SPHARM coefficients can be used for continuous reconstruction. To perform dense reconstruction, a fine grid (θ_r, ϕ_r) is generated to retrieve 3D points. Eq. (4) is employed to calculate \mathbf{Y} in Eq. (5). Since the SPHARM coefficients have been derived before, \mathbf{F} which is the set of radius distances corresponding to the points in the grid (θ_r, ϕ_r) can be computed efficiently. Finally, the mask bitmaps can be applied to remove noisy points that are newly reconstructed if preferred. Depending on the desired density of the point cloud to reconstruct, the resolution of the grid can be adjusted accordingly. Since the resolution can be changed continuously, the density of the reconstruction is also continuous. Fig. 1 shows two reconstruction results with different densities.

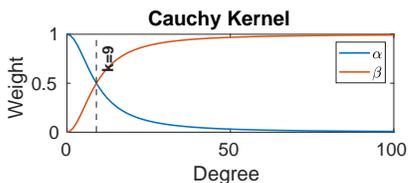


Fig. 6: α and β change with respect to SPHARM degree, and equal when $k = 9$.

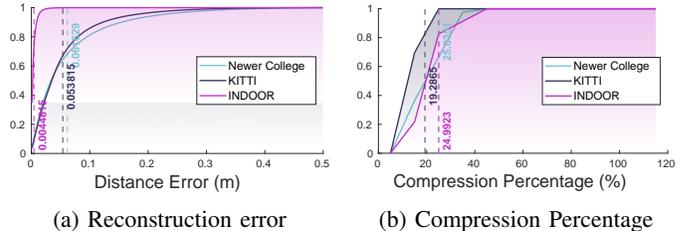


Fig. 7: Cumulative distribution of CURL's reconstruction errors and compression percentage for 1:1 reconstruction.

IV. EXPERIMENTS

In this section, we evaluate the proposed CURL representation on four public datasets: Newer College [19], KITTI [6], Indoor LiDAR-RGBD SCAN [15] and SuperRso [23] datasets. Their scenarios include college gardens, street scenes, and indoor environments with different LiDAR sensors and degrees of structural complexity. The LiDAR sensor used in the Newer College Dataset is an Ouster OS-1 (Gen 1) 64, combined with a Leica BLK360, which produces dense point cloud as ground truth reconstruction. Therefore, this dataset is suitable for evaluating the reconstruction accuracy. KITTI dataset uses a Velodyne HDL-64E without ground truth reconstruction. Thus, it is mainly used for assessing 1 : 1 reconstruction. For the indoor dataset, a survey-grade FARO Focus 3D X330 scanner is used to scan 360° vertical and horizontal fields of view within 3mm ranging accuracy. We also use it to evaluate the reconstruction accuracy quantitatively. Given that the changes on consecutive scans tend to be unnoticeable, LiDAR scans for encoding and reconstruction (not ground truth) are selected 5 meters apart. The quantitative precision evaluation is conducted by comparing distances between reconstructed points and their nearest neighbors in the ground truth like [7]. For compression evaluation, we borrow the definition of compression percentage in the Point Cloud Library library (PCL) [20], which is bytes per compressed point divided by bytes per uncompressed point. Similarly, we define the compression percentage as bytes per compressed point cloud divided by bytes per uncompressed point cloud, where in Eq. (8) C is the size of a compressed point cloud and U is the size of an uncompressed point cloud.

$$CP = \frac{C}{U} \times 100\% \quad (8)$$

A. Parameters of CURL

TABLE I shows CURL's parameters with their default values. The default scans channel L is set 64 unless otherwise stated. P_r is the patch size that contains $P_r \times P_r$ pixels in an original depth image. Since the depth image after upsampling contains more pixels, P_{row} and P_{col} represent the patch size of the upsampled depth image. R_{row} and R_{col} determines desired multipliers on increasing row and column resolutions for continuous reconstruction. Their default values are 1 as set for 1:1 reconstruction.

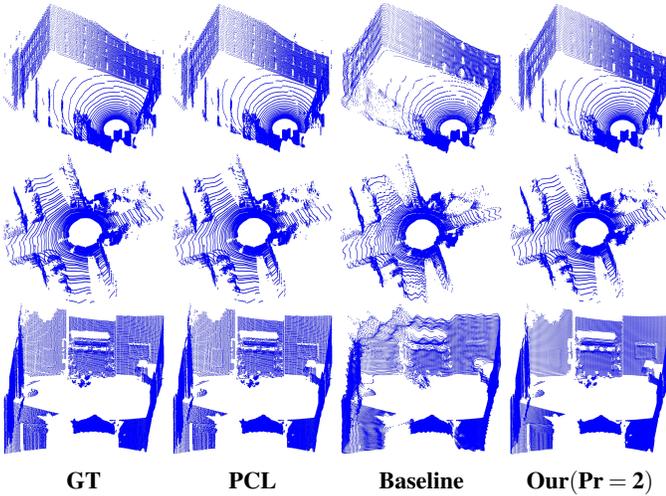


Fig. 8: Examples of 1:1 reconstruction. Top to bottom: Newer College, KITTI and Indoor datasets. PCL is online mode with low resolution.

B. Competing Methods

Given that the point cloud compression and density increase for large-scale LiDAR scans are relatively under-explored for robotic applications, the following publicly available methods are selected for comparison. For the 1:1 reconstruction experiment, we compare CURL with the point cloud compression method in the PCL. It is an octree-based method with online and offline modes. Since it only does point cloud compression without increasing density, it is not compared to up-sampling and continuous reconstruction experiments. Instead, LiDAR super-resolution (SuperRso) [23], a deep learning-based method that increases the density of point cloud from a LiDAR sensor, is benchmarked for upsampling. We use its official pre-trained weights that upsample a 16-channel scan to a 64-channel. Given that SuperRso does not report results of denser point clouds over 64 channels, it is only used to evaluate upsampling from a 16-channel to a 64-channel. To evaluate continuous reconstruction, we employ a basic 64-degree SPHARM method as a baseline without patching and adaptive refinement since it is the traditional SPHARM shape modeling method used in [24], [25], and [3].

C. Evaluation on 1:1 Reconstruction

The 1:1 reconstruction task compresses a point cloud and later recovers it without increasing its density. Therefore, the ground truth point cloud is the original point cloud captured from a LiDAR sensor. The 1:1 reconstruction experiments aim to understand the performance of a point cloud compression and recovery.

TABLE II shows the results of baseline, PCL, and CURL on the Newer College, KITTI, and INDOOR datasets. It can be seen that the baseline method achieves the best compression percentage yet with the lowest reconstruction accuracy. PCL has superior accuracy and compression percentage performance, although it cannot increase density or provide

Dataset	Method	PCL						CURL (Ours)	
		Baseline	Online			Offline			
			low	mid	high	low	mid		high
Newer College	mean	0.165	0.005	0.005	0.0001	0.005	0.002	4.8e-05	0.062
	std	0.380	0.001	0.001	2.7e-05	0.001	0.001	1.4e-05	0.071
	CP	8.11%	16.76%	20.16%	37.78%	16.76%	20.10%	38.46%	25.03%
KITTI	mean	0.076	0.005	0.005	0.0002	0.005	0.002	4.8e-05	0.053
	std	0.105	0.007	0.007	0.007	0.001	0.0007	1.4e-05	0.060
	CP	9.11%	14.90%	18.35%	36.14%	14.90%	18.30%	36.78%	19.29%
INDOOR	mean	0.0234	0.005	0.005	9.6e-05	0.005	0.002	4.8e-05	0.004
	std	0.0622	0.0014	0.001	2.78e-05	0.001	0.0007	1.39e-05	0.005
	CP	5.29%	7.19%	10.51%	28.14%	7.19%	10.67%	29.41%	24.99%

TABLE II: 1:1 reconstruction results. Mean errors and standard variances are in meters. CP is average compression percentage.

Dataset	Newer College			KITTI			INDOOR		
	mean	std	CP	mean	std	CP	mean	std	CP
CURL (1:1 task only)	0.0033	0.0052	39.96%	0.0031	0.0046	36.53%	0.0039	0.0054	16.73%

TABLE III: 1:1 reconstruction result of CURL (fully tuned for 1:1 reconstruction).

continuous reconstruction. CURL’s performance is inferior to PCL, but it is able to balance the accuracy and compression percentage reasonably well. Fig. 7 provides cumulative distribution function (CDF) of CURL’s reconstruction errors and compression percentage in detail. Some 1:1 reconstruction examples are given in Fig. 8. Since the same CURL derived here will also be used for continuous reconstruction (our main focus) in Section IV-E and it is preferably to avoid overfitting on a single task, its 1:1 reconstruction results in TABLE II are not the best. However, if CURL is tuned mostly for the 1:1 reconstruction task, it can achieve comparable performance to PCL, as shown in the TABLE III.

D. Evaluation on Upsampling

This evaluation compares our upsampling algorithm with the LiDAR super-resolution method SuperRso [23], which is a recent open-source system that for LiDAR point cloud upsampling. Since the available pre-trained model of SuperRso is to increase LiDAR scan from 16-channel to 64-channel, we use the dataset provided by SuperRso and the Newer College datasets for evaluation by sampling 16-channel LiDAR scans from Ouster’s raw 64-channel scans. An example on the Newer College dataset is shown in Fig. 9. Compared with SuperRso, our method predicts fewer points at the areas that have sparse

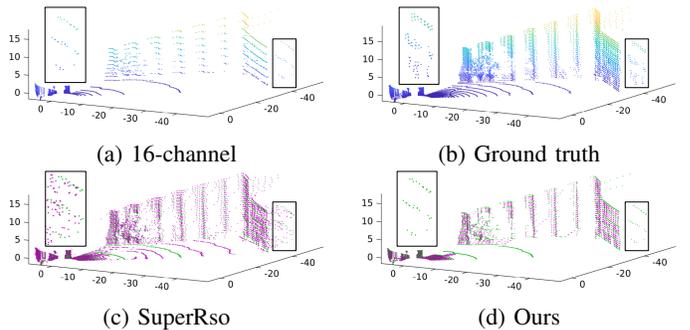


Fig. 9: A 16-to-64 channel upsampling example on Newer College dataset.

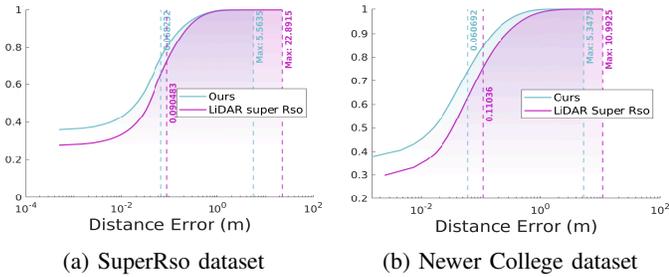


Fig. 10: Cumulative distributions of our upsampling and SuperRso methods on reconstruction errors.

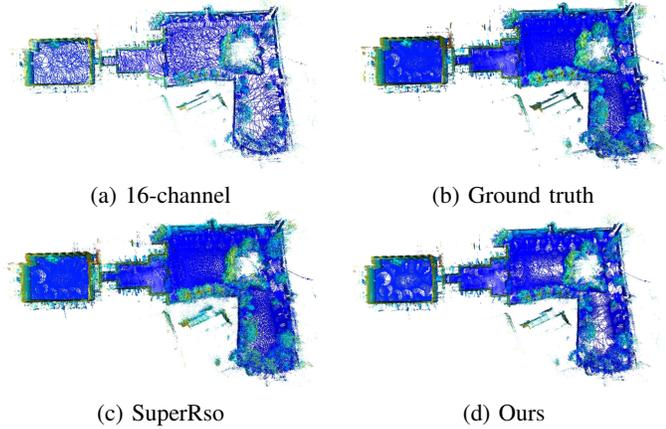


Fig. 11: Mapping results. SuperRso and our method use their 16-to-64 upsampled scans.

points in the original 16-channel and conservatively recovers a point cloud that preserves similar geometric structures in the ground truth point cloud. Fig. 10 provides detailed CDF of the reconstruction errors of these two methods. Although our method is more conservative and generates relatively fewer points than SuperRso, its precision tends to be higher. This is important for our CURL methods as precision is the priority for upsampling, whose point cloud forms the basis to determine the encoding quality. Moreover, its continuous

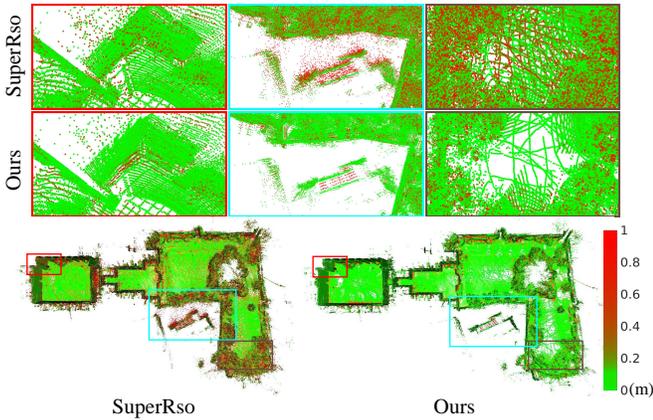


Fig. 12: Error maps compared with ground truth map.

Dataset	Method	$R_{row} = 2, R_{col} = 1$		$R_{row} = 4, R_{col} = 1$		$R_{row} = 8, R_{col} = 1$		CP
		mean	std	mean	std	mean	std	
Newer College	Baseline	105.6024	1.39e+05	175.6662	1.93e+05	212.7353	2.20e+05	7.94%
	Ours	42.9162	4.06e+05	56.3463	5.29e+04	64.8137	6.10e+04	27.87%
	$S_{row} = 1, S_{col} = 1$	0.0628	0.0450	0.1303	0.117090	0.1509	0.124000	26.90%
	$S_{row} = 2, S_{col} = 2$	0.0619	0.0430	0.0671	0.06600	0.0684	0.113400	24.71%
INDOOR	Baseline	0.0234	0.2490	0.0249	0.7285	0.0258	0.8260	5.29%
	Ours	0.6418	2.09e+02	0.7953	2.16e+02	0.8353	2.16e+02	32.30%
	$S_{row} = 1, S_{col} = 1$	0.0042	0.0055	0.0075	2.8265	0.0084	2.9849	27.90%
	$S_{row} = 2, S_{col} = 2$	0.0041	0.0048	0.0040	0.1106	0.0040	0.1167	24.99%

TABLE IV: Continuous reconstruction for $P_r = 4$ with different sampling rates. Sampling rate of baseline method is fixed as $S_{row} = S_{col} = 2$. Mean errors and standard variances are in meter.

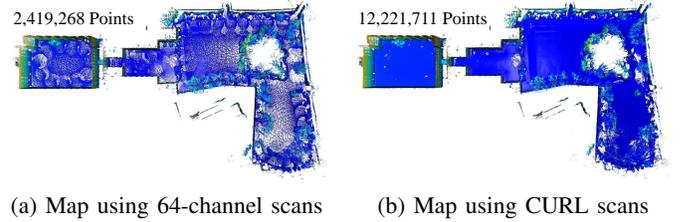


Fig. 13: Mapping on the Newer College dataset. (a) Map using the original 64-channel scans captured from the Ouster LiDAR. (b) Map using the scans continuously reconstructed from CURL.

reconstruction can always significantly increase density later. To further understand the quality of upsampled scans, all scans are compounded into a consistent 3D map using the ground truth poses in the Newer College dataset, as shown in Fig. 11 and Fig. 12. It is evident that the mapping results of using SuperRso and our method have much higher density compared with the 16-channel results, and the geometric structures are well maintained, although SuperRso produces a bit higher number of noise points.

E. Evaluation on Continuous Reconstruction

Continuous reconstruction is to increase the number of points in a point cloud, reaching a higher density than its original one. We show some qualitative examples first before giving detailed quantitative evaluations.

1) *Qualitative Evaluation*: Fig. 13 shows the maps using the original 64-channel scans captured from the Ouster LiDAR and the scans continuously reconstructed from CURL. We can

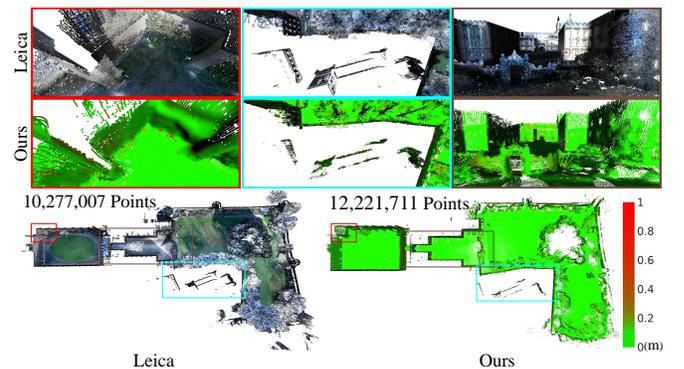


Fig. 14: CURL continuous reconstruction map in Fig. 13b compared with the Leica ground truth map.

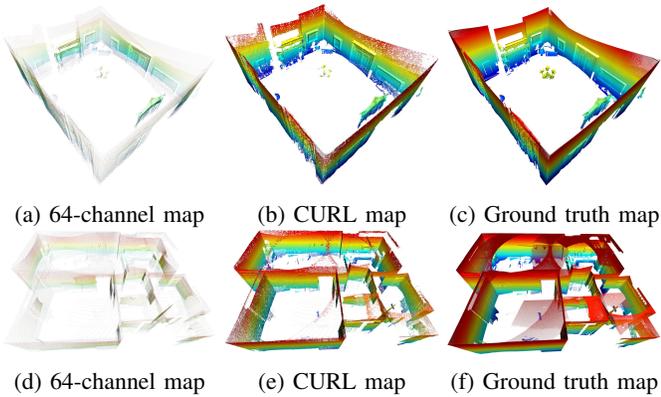


Fig. 15: Two sets of mapping results using INDOOR dataset. CURL map is built from continuous reconstruction using the 64-channel map in first column. Ground truth maps are built by a FARO X330 static scanner.

Modules	Extraction Time	Reconstruction Time	Total Time
CURL (1:1 task only)	0.0444s	0.0073s	0.0517s
PCL (Oline Low-Res)	0.0175s	0.0257s	0.0432s

TABLE V: Average computation time on KITTI Sequence 0.

see the CURL map is much denser with over $5\times$ number of points. But the binary size of the CURL used to reconstruct the map in Fig. 13b only takes about 18.5% of that of the point cloud map in Fig. 13a (9.61MB vs. 51.93MB). The errors of this CURL map compared with the Lecia ground truth map are described in Fig. 14. This validates that the proposed CURL method is able to achieve reasonable accuracy comparing with this survey-grade Lecia scanner. Fig. 15 shows extra examples of the continuous reconstruction results in indoor scenes using the INDOOR dataset. We can see some fine details of the wall arts, doors, etc., successfully recovered through the CURL continuous reconstruction. In order to verify the performance in outdoor street environments, Fig. 16 shows the continuous reconstruction results using the KITTI dataset. Since the KITTI dataset does not have a complete ground truth map, we compare the CURL map with the map built using the 64-channel LiDAR scans. It can be seen that the CURL method operates well in the outdoor street scene.

2) *Quantitative Evaluation*: To quantitatively evaluate the performance of continuous reconstruction, a denser and more accurate point cloud map is needed. Therefore, we use the Newer College and INDOOR datasets which have high-quality point clouds captured using survey-grade LiDAR scanners (Leica BLK360 and FARO 3D X330 scanners, respectively) as ground truth maps. From TABLE IV, we can see that with the higher sampling rates, the errors reduce dramatically. In contrast, even a high sampling rate is utilized for the baseline method, its reconstruction error remains big. This verifies the importance of the patching and adaptive refinement.



Fig. 16: Comparison of maps built using the KITTI Sequence 0 dataset. Left: map of original 64-channel LiDAR scans with about 19 million points (430.25MB). Right: map of the CURL scans ($R_{row} = 8$ and $R_{col} = 1$ continuous reconstruction) with about 98 million points (CURL size: 71.25MB).

Modules	Upsampling Time	Extraction Time	Reconstruction Time		Total Time
			$R_{row} = 2$ $R_{col} = 1$	$R_{row} = 8$ $R_{col} = 1$	
CURL	$S_{row} = 2$ $S_{col} = 2$	0.3441s	0.0707s	0.0079s	0.4227s
				0.0152s	0.4300s
				0.0224s	0.4372s
				0.0300s	0.4448s

TABLE VI: Average computation time for continuous reconstruction on KITTI Sequence 0.

F. Computation Time

Here we briefly describe the computation time of all modules, including upsampling, SPHARM coefficients extraction, and reconstruction, for different reconstruction resolutions tested on the KITTI dataset. The experiment runs on an Intel® Core™ i7-10875H CPU. From TABLE V, it can be seen that for 1:1 task, our compression time is slower than the PCL library, although our reconstruction time is much faster. TABLE VI shows the run time result of each module by using our default outdoor parameters. Upsampling takes up most of the time since it needs to query the intersection point of each ray to a mesh. The computation time of reconstruction increases linearly with respect to the resolution.

V. CONCLUSIONS

This paper presents CURL, a novel representation pipeline to simultaneously compress and densify LiDAR 3D point clouds. It develops the efficient 2D polar-parametrized dense meshing, the mask-refined upsampling and the adaptive encoding of the spherical harmonic functions for continuous reconstruction. Demonstrated and evaluated on four public datasets, CURL is capable of producing reliable dense reconstructions, e.g., in large-scale outdoor environments, while reducing storage spaces simultaneously. Therefore, it can be found useful in many robotic applications that requires a good balance between fidelity and size of 3D point cloud maps, such as teleoperation, mapping, path planning and navigation using point cloud maps. Future work will focus on designing dynamic partition of patches, which can further deliver compression and improve reconstruction accuracy.

ACKNOWLEDGMENTS

This work was supported in part by EU H2020 Programme under DeepField project (grant ID 857339) and SOLITUDE project.

REFERENCES

- [1] Marc Alexa, Johannes Behr, Daniel Cohen-Or, Shachar Fleishman, David Levin, and Claudio T. Silva. Computing and rendering point set surfaces. *IEEE Transactions on Visualization and Computer Graphics*, 9(1):3–15, 2003.
- [2] J Andreas Bærentzen, Jens Gravesen, François Anton, and Henrik Aanæs. *Guide to computational geometry processing: foundations, algorithms, and methods*. Springer Science & Business Media, 2012.
- [3] Ch Brechbühler, Guido Gerig, and Olaf Kübler. Parametrization of closed surfaces for 3-D shape description. *Computer Vision and Image Understanding*, 61(2): 154–170, 1995.
- [4] Chao Cao, Marius Preda, and Titus Zaharia. 3D point cloud compression: A survey. In *International Conference on 3D Web Technology*, pages 1–9, 2019.
- [5] Pierre-Marie Gandoin and Olivier Devillers. Progressive lossless compression of arbitrary simplicial complexes. *ACM Transactions on Graphics*, 21(3):372–379, 2002.
- [6] Andreas Geiger, Philip Lenz, and Raquel Urtasun. Are we ready for autonomous driving? the KITTI vision benchmark suite. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 2012.
- [7] Lila Huang, Shenlong Wang, Kelvin Wong, Jerry Liu, and Raquel Urtasun. Octsqueeze: Octree-structured entropy model for LiDAR compression. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, pages 1313–1323, 2020.
- [8] Yan Huang, Jingliang Peng, C-C Jay Kuo, and M Gopi. Octree-based progressive geometry coding of point clouds. In *PBG at Special Interest Group on Computer Graphics and Interactive Techniques*, pages 103–110, 2006.
- [9] Birendra Kathariya, Li Li, Zhu Li, Jose Alvarez, and Jianle Chen. Scalable point cloud geometry coding with binary tree embedded quadtree. In *IEEE International Conference on Multimedia and Expo*, pages 1–6, 2018.
- [10] Michael Kazhdan. Reconstruction of solid models from oriented point sets. In *Proceedings of the Third Eurographics Symposium on Geometry Processing*, pages 73–es, 2005.
- [11] Ruihui Li, Xianzhi Li, Pheng-Ann Heng, and Chi-Wing Fu. Point cloud upsampling via disentangled refinement. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, pages 344–353, 2021.
- [12] Philipp Merkle, Aljoscha Smolic, Karsten Muller, and Thomas Wiegand. Multi-view video plus depth representation and coding. In *IEEE International Conference on Image Processing*, volume 1, pages 201–204, 2007.
- [13] Claus Müller. *Spherical harmonics*, volume 17. Springer, 2006.
- [14] Tilo Ochotta and Dietmar Saupe. Compression of point-based 3D models by shape-adaptive wavelet coding of multi-height fields. In *Proceedings of the First Eurographics conference on Point-Based Graphics*, 2004.
- [15] Jaesik Park, Qian-Yi Zhou, and Vladlen Koltun. Colored point cloud registration revisited. In *Proceedings of the IEEE Conference on International Conference on Computer Vision*, 2017.
- [16] Mark Pauly and Markus Gross. Spectral processing of point-sampled geometry. In *Proceedings of the 28th Annual Conference on Computer Graphics and Interactive Techniques*, pages 379–386, 2001.
- [17] Yue Qian, Junhui Hou, Sam Kwong, and Ying He. Pugeo-net: A geometry-centric network for 3D point cloud upsampling. In *European Conference on Computer Vision*, pages 752–769. Springer, 2020.
- [18] Zizheng Que, Guo Lu, and Dong Xu. Voxelcontext-net: An octree based framework for point cloud compression. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, pages 6042–6051, 2021.
- [19] Milad Ramezani, Yiduo Wang, Marco Camurri, David Wisth, Matias Mattamala, and Maurice Fallon. The newer college dataset: Handheld LiDAR, inertial and vision with ground truth. In *IEEE International Conference on Intelligent Robots and Systems*, pages 4353–4360. IEEE, 2020.
- [20] Radu Bogdan Rusu and Steve Cousins. 3D is here: Point Cloud Library (PCL). In *IEEE International Conference on Robotics and Automation*, pages 1–4, 2011.
- [21] Sebastian Schwarz, Marius Preda, Vittorio Baroncini, Madhukar Budagavi, Pablo Cesar, Philip A Chou, Robert A Cohen, Maja Krivokuća, Sébastien Lasserre, Zhu Li, et al. Emerging mpeg standards for point cloud compression. *IEEE Journal on Emerging and Selected Topics in Circuits and Systems*, 9(1):133–148, 2018.
- [22] Sebastian Schwarz, Marius Preda, Vittorio Baroncini, Madhukar Budagavi, Pablo Cesar, Philip A. Chou, Robert A. Cohen, Maja Krivokuća, Sébastien Lasserre, Zhu Li, Joan Llach, Khaled Mammou, Rufael Mekuria, Ohji Nakagami, Ernestasia Siahaan, Ali Tabatabai, Alexis M. Tourapis, and Vladyslav Zakharchenko. Emerging mpeg standards for point cloud compression. *IEEE Journal on Emerging and Selected Topics in Circuits and Systems*, 9(1):133–148, 2019.
- [23] Tixiao Shan, Jinkun Wang, Fanfei Chen, Paul Szenher, and Brendan Englot. Simulation-based LiDAR super-resolution for ground vehicles. *Robotics and Autonomous Systems*, 134:103647, 2020.
- [24] Li Shen and Moo K Chung. Large-scale modeling of parametric surfaces using spherical harmonics. In *Third International Symposium on 3D Data Processing, Visualization, and Transmission*, pages 294–301. IEEE, 2006.
- [25] Li Shen and Fillia Makedon. Spherical mapping for

- processing of 3D closed surfaces. *Image and Vision Computing*, 24(7):743–761, 2006.
- [26] Shihao Wu, Hui Huang, Minglun Gong, Matthias Zwicker, and Daniel Cohen-Or. Deep points consolidation. *ACM Transactions on Graphics*, 34(6):1–13, 2015.
- [27] Lequan Yu, Xianzhi Li, Chi-Wing Fu, Daniel Cohen-Or, and Pheng-Ann Heng. Ec-net: an edge-aware point set consolidation network. In *Proceedings of the European Conference on Computer Vision*, pages 386–402, 2018.
- [28] Lequan Yu, Xianzhi Li, Chi-Wing Fu, Daniel Cohen-Or, and Pheng-Ann Heng. Pu-net: Point cloud upsampling network. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, pages 2790–2799, 2018.
- [29] Wentai Zhang, Haoliang Jiang, Zhangsihao Yang, Soji Yamakawa, Kenji Shimada, and Levent Burak Kara. Data-driven upsampling of point clouds. *Computer-Aided Design*, 112:1–13, 2019.